

Annexure - 1

ACCOUNT OPENING KIT

INDEX OF DOCUMENTS

S.No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instruction/check list.	
		B. Document captures the additional information about the constituent relevant to trading account and an instruction/check list.	
2	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	
3	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
4	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
5	Policies and Procedures	Document describing significant policies and procedures of the stock broker (to be added by the stock broker).	
6		Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s) (to be added by the stock broker).	
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
7	Authorisation	Running Account Authorisation	
8	Tariff	Brokerage Sheet	

Name of stock broker/trading member/clearing member:
SEBI Registration No. and date: Registered office address:
Ph:022-43439900 Fax: - Website: -
Correspondence office address:
Ph: Fax: -

ASHISH VAID
115, Maker Chamber III, Nariman
Point, Mumbai – 400 021
SEBI Reg. No.: INZ000261612 dt.: 16-Dec-1994

Compliance officer name, phone no. & email id:

KISHOR NADKARNI, 9967630322,
kishor.nadkarni@ashishstates.com

CEO name, phone no. & email id:

ASHISH VAID, 022-43439900
Ashish.vaid@ashishstates.com

For any grievance/dispute please contact ASHISH VAID at the above address or email id-
ashishvaid_fo@yahoo.in and phone no. 022-43439900 In case you are not satisfied with the response, please
contact the concerned exchange(s) at National Stock Exchange of India Limited at [ignse@nseindia.com and
Phone No.1800220058]